A satellite-based indicator for diagnosing particulate nitrate sensitivity to precursor emissions: application to East Asia, Europe, and North America

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26 ABSTRACT

27 Particulate nitrate is a major component of fine particulate matter (PM_{2.5}) and a key target for improving 28 air quality. Its formation is varyingly sensitive to emissions of nitrogen oxides (NO_x \equiv NO + NO₂), 29 ammonia (NH₃), and volatile organic compounds (VOCs). Diagnosing the dominant sensitivity is critical 30 for effective pollution control. Here we show that satellite observations of the NO₂ column and the NH₃ 31 /NO₂ column ratio can effectively diagnose the dominant sensitivity regimes in polluted regions of East 32 Asia, Europe, and North America, in different seasons. We demarcate the different sensitivity regimes 33 using the GEOS-Chem chemical transport model and apply the method to satellite observations from 34 OMI (NO₂) and IASI (NH₃) in 2017. We find that the dominant sensitivity regimes vary across regions 35 and remain largely consistent across seasons. Sensitivity to NH₃ emissions dominates in the northern 36 North China Plain (NCP), the Yangtze River Delta, South Korea, most of Europe, Los Angeles, and the 37 eastern US. Sensitivity to NO_x emissions dominates in central China, the Po Valley in Italy, the central 38 United States, and the Central Valley in California. Sensitivity to VOC emissions dominates only in the 39 southern NCP in winter. These results agree well with previous local studies. Our satellite-based indicator 40 provides a simple tool for air quality managers to choose emission control strategies for decreasing $PM_{2.5}$ 41 nitrate pollution.

42

43 **1. INTRODUCTION**

Particulate nitrate is a major component of fine particulate matter ($PM_{2.5}$) and often drives $PM_{2.5}$ pollution events in the urbanized world ^{1.5}. With sulfate and organic fractions of $PM_{2.5}$ decreasing in response to emission controls ^{6,7}, nitrate has emerged as a key target for further improving air quality ⁸. In the eastern United States, wintertime nitrate concentrations exhibited no significant decrease between 2007 and 2015 despite a 36% reduction in NO_x emissions ⁹. In eastern China, nitrate levels have remained unresponsive to NO_x emission controls over the past decade ¹⁰⁻¹². New emission control strategies may be needed to manage particulate nitrate to meet $PM_{2.5}$ air quality targets.

51

52 Particulate nitrate is produced by the oxidation of nitrogen oxide radicals ($NO_x \equiv NO + NO_2$) to nitric acid

53 (HNO₃), which condenses into the aerosol phase in the presence of alkalinity. We refer to pNO_3^- as the

54 PM_{2.5} component of particulate nitrate, and observations show that it originates mainly from co-

55 condensation with ammonia (NH₃)^{10, 13, 14}. The pNO₃/HNO₃ thermodynamic equilibrium is highly

- 56 sensitive to temperature and relative humidity (RH), so that pNO₃⁻ concentrations are typically highest in
- 57 winter-spring. The NO_x oxidation process involves hydroxyl radical (OH) and ozone (O_3), both of which

- 58 depend on NO_x and volatile organic compounds (VOCs) availability. NO_x, NH₃, and VOCs are thus the
- 59 three emitted gases serving as precursors for pNO_3^- formation. NO_x in polluted regions is mainly from
- 60 fuel combustion ¹⁵. NH₃ is from agriculture including fertilization and manure ¹⁶, and also has sources

from industry ^{17, 18} and vehicles ¹⁹. VOCs are from combustion, industrial and domestic chemical products,

62 vegetation, and open fires ²⁰. Understanding which of these three emitted precursors is most important in

- 63 driving pNO_3^{-1} formation is critical to effective air quality management.
- 64
- Formation of pNO₃⁻ is dominantly sensitive to either NH₃ or HNO₃ depending on which is in less
- 66 supply²¹. This sensitivity can be determined using diagnostic indicators derived from aerosol and gas
- 67 measurements 22,23 , or with thermodynamic models using these measurements as input 4,14 . Although a
- 68 dominant sensitivity to HNO₃ often suggests a corresponding sensitivity to NO_x emissions, the conversion
- 69 of NO_x to HNO₃ can in fact be limited by VOCs availability under VOC-limited (NO_x-saturated)
- conditions for O_3 formation, a situation that frequently occurs in urban environments during winter ^{12, 24}.
- 71 Other factors further complicate the relationship between pNO_3^- and its precursors, including competing

deposition of HNO₃ and $pNO_3^{-10,21}$, conversion of NO_x to organic nitrates ²⁵, uptake of HNO₃ by alkaline

- soil dust and sea salt 26 , and competition from sulfate for available NH₃ 27 . Chemical transport models
- 74 (CTMs) can provide a comprehensive description of these processes and serve as a useful tool for
- diagnosing pNO₃⁻ sensitivity to emissions through simulations with perturbed emissions ^{10, 12, 28}. However,
- 76 this approach is computationally laborious and subject to model errors in particular for emissions.
- 77
- 78 Satellites measure tropospheric columns of NO₂ (Ω_{NO2}), formaldehyde (Ω_{HCHO}), and NH₃ (Ω_{NH3}). Space-

based NO₂ and HCHO measurements started with the GOME instrument in 1995²⁹, followed by OMI in

- 80 2005³⁰ and TROPOMI in 2017³¹, and are now expanding with the geostationary constellation including
- 81 GEMS over East Asia in 2020³², TEMPO over North America in 2023³³, and the Sentinel-4 satellite over

Europe to be launched in 2025 34 . For NH₃, space-based measurements began with the TES instrument in

 2004^{35} , followed by IASI in 2007³⁶, CrIS in 2012³⁷ and soon IRS on Sentinel-4³⁸.

84

In previous work we introduced a new satellite-based method using the $\Omega_{\rm NH3}/\Omega_{\rm NO2}$ ratio to identify the

dominant sensitivity of local fine pNO_3^- formation to emissions of NO_x , NH_3 , and VOCs under wintertime

87 conditions in East Asia ³⁹. We demonstrated the method with the GEOS-Chem CTM and then applied it to

- the satellite observations, evaluating it by comparison with local field and model studies. The approach is
- similar to the use of the $\Omega_{\rm HCHO}/\Omega_{\rm NO2}$ ratio for diagnosing NO_x- versus VOC-limited conditions for
- 90 summertime ozone formation $^{40.42}$. Although Ω_{HCHO} is a useful diagnostic of VOC reactivity in summer,
- 91 we found that Ω_{NO2} was a more useful indicator of VOC-limited conditions for pNO₃⁻ formation because

- of the low $\Omega_{\rm HCHO}$ detection capability in winter ⁴³. Here, we demonstrate the generalization of our 92
- 93 satellite-based indicator to other seasons and to Europe and North America, and we use it to identify the
- 94 seasonality and regionality of pNO₃⁻ sensitivity regimes.

95 2. MATERIALS AND METHODS

96 2.1 GEOS-Chem model

97 We use the GEOS-Chem CTM (version 13.4.1, DOI: https://zenodo.org/record/6564702) to examine how 98 pNO_3^{-1} formation sensitivity to emissions can be diagnosed from the satellite column observations. The

99 simulations are driven by MERRA-2 meteorology and are conducted at a nested resolution of $0.5^{\circ} \times$

100 $0.625^{\circ} \approx 50 \times 50 \text{ km}^2$ over East Asia (90°–145°E, 10°–55°N), Europe (20°W–40°E, 30°–65°N), and

North America $(135^{\circ}-55^{\circ}W, 15^{\circ}-60^{\circ}N)$, with boundary conditions updated every 3 hours from a $4^{\circ} \times 5^{\circ}$ 101

global simulation. We conduct simulations for January, April, July, and October of 2017, and these are 102

viewed in the text as representative of their respective seasons. The $4^{\circ} \times 5^{\circ}$ global simulation is spun up 103

104 for 6 months starting in July 2016 and provides the initial as well as the boundary conditions for the 0.5°

- 105 $\times 0.625^{\circ}$ continental simulations.
- 106

107 GEOS-Chem includes detailed oxidant-aerosol chemistry ⁴⁴. pNO₃⁻ formation in GEOS-Chem is mainly from thermodynamic equilibrium within the H₂SO₄-HNO₃-HCl-NH₃ system as described by the 108 109 ISORROPIA II model⁴⁵. Some of the pNO₃⁻ formation can take place by aerosol uptake and hydrolysis of

organic nitrates ⁴⁶. GEOS-Chem also includes nitrate formation from uptake by alkaline sea salt ⁴⁷ and soil 110

dust ⁴⁸, but these components are mainly in the coarse size range and generally make little contribution to

111 112 $PM_{2.5}$. Simulation of pNO₃⁻ is challenging for CTMs because of the complexity and nonlinearity of the

processes involved ^{49,50}, but recent versions of GEOS-Chem show little bias and the ability to capture 113

 pNO_3^- variability ^{10, 39, 51, 52}. 114

115

116 Our simulation is the same as previously described in Dang, et al.³⁹, featuring specific options within the 117 standard GEOS-Chem v.13.4.1. These include the use of Luo's wet deposition scheme to better reproduce pNO₃⁻ concentrations ^{51, 52}, and the incorporation of aerosol nitrate photolysis which improves simulation 118 119 of tropospheric NO₂ columns ⁵³. Anthropogenic emissions are from the global Community Emissions Data System (CEDSv2) inventory ⁵⁴ superseded by region-specific inventories including MEIC for China 120 ⁵⁵, KORUSv5 for South Korea ⁵⁶, and the United States (US) EPA NEI 2016 (https://www.epa.gov/air-121

- 122 emissions-modeling/2016v1-platform). All other emissions are as described in Dang, et al. ⁵³.
- 123

- 124 Our use of GEOS-Chem for the interpretation of satellite observations in terms of pNO₃⁻ sensitivity
- regime relies on the model having a correct representation of pNO_3^{-1} formation processes but not
- 126 necessarily emissions. Here we evaluate the model's performance in simulating pNO_3^{-} by comparison to
- 127 PM_{2.5} surface measurements in 2017 from East Asia, Europe, and the US. East Asian data are collected
- 128 from previous studies in China ^{10, 57-66} and from sites managed by South Korea's National Institute of
- 129 Environmental Research (NIER) and the Acid Deposition Monitoring Network in East Asia (EANET),
- 130 totaling 22 sites. Site details and pNO₃⁻ data are provided at XXX (will be uploaded to Harvard Dataverse
- 131 (open access)). European data are from the European Monitoring and Evaluation Programme network
- 132 (EMEP, 24 sites) (<u>https://ebas-data.nilu.no/Default.aspx</u>). US data are from the Interagency Monitoring of
- 133 Protected Visual Environments network (IMPROVE, 147 sites) and the Chemical Speciation Network
- 134 (CSN, 132 sites) (<u>http://views.cira.colostate.edu/fed/QueryWizard/Default.aspx</u>).
- 135
- 136 Figure 1 compares observed versus modeled monthly mean surface pNO_3^- concentrations for January,
- 137 April, July, and October 2017. GEOS-Chem simulates pNO₃⁻ in East Asia remarkably well across the four
- 138 seasons. Results In Europe and the US show relatively larger errors, which may reflect the lower
- 139 concentrations and dynamic range. The model tends to underestimate the high observed pNO_3^{-1}
- 140 concentrations at sites in the western urban US during winter, probably due to a low bias in winter NH₃
- 141 emissions ⁶⁷.





Figure 1: Comparison of observed and modeled monthly mean PM_{2.5} nitrate concentrations at sites in (a)
East Asia, (b) Europe, and (c) the United States for January, April, July, and October 2017. Comparison
statistics include the correlation coefficient (r), normalized mean bias (NMB), and the reduced-major-axis
(RMA) regression line and slope (±95% confidence interval). The 1:1 line is shown as dashed. The panels
have different scales.

148 **2.2 Satellite observations of NO₂ and NH₃**

- 149 We use the same satellite products as in our previous work 39 : version 4 of the NASA OMI NO₂ level 2
- 150 product (https://disc.gsfc.nasa.gov/datasets/OMNO2_003/summary) and version 3 of the reanalyzed level
- 151 2 IASI NH₃ product (<u>https://iasi.aeris-data.fr/catalog/#masthead</u>).
- 152
- 153 The OMI retrieval measures NO₂ slant columns by fitting the backscattered solar spectrum and converts
- 154 the data to tropospheric vertical columns ³⁰. It provides daily coverage at 13:30 local time (LT) with a
- spatial resolution of 13×24 km² at nadir. We filter out pixels with cloud fraction > 0.3, surface
- 156 reflectance > 0.3, solar zenith angle $> 75^\circ$, viewing zenith angle $> 65^\circ$, and those affected by the so-called
- 157 row anomaly. Pixel observations are gridded and averaged to a resolution of $0.5^{\circ} \times 0.625^{\circ}$ to calculate
- seasonal mean columns. Only grid cells with more than 30 successful retrievals are included in the
- analysis.
- 160
- 161 Figure 2 shows the seasonal mean NO₂ columns (Ω_{NO2}) measured by OMI in 2017. We exclude remote
- 162 areas with winter $\Omega_{NO2} < 2.5 \times 10^{15}$ molec cm⁻² because diagnosing sensitivity to local emissions in these
- 163 regions would be inappropriate. OMI observes high NO₂ in densely populated regions such as the East
- 164 China Plain, the Seoul metropolitan area (SMA) in South Korea, northwestern Europe, northern Italy,
- 165 California in US, and northeastern US. Ω_{NO2} peaks in winter and is lowest during summer, reflecting the
- 166 seasonality in photochemical oxidation.
- 167

Seasonal mean OMI NO₂ tropospheric columns in 2017

168

169 Figure 2: Seasonal mean OMI tropospheric NO₂ columns (Ω_{NO2}) in East Asia, Europe, and North

170 America in 2017. Values are plotted on the $0.5^{\circ} \times 0.625^{\circ}$ GEOS-Chem model grid as 3-month averages

171 for winter (DJF), spring (MAM), summer (JJA), and fall (SON). Remote grid cells with winter $\Omega_{NO2} < 2.5$

172 $\times 10^{15}$ molec cm⁻² are excluded. Regional mean values are provided inset, with units of 10^{15} molec cm⁻².

173

174 The IASI instrument measures atmospheric NH₃ columns using thermal infrared radiation (TIR) emitted

- by the Earth's surface and atmosphere ⁶⁸. It offers global coverage twice daily, at 9:30 LT and 21:30 LT,
- 176 with a nadir pixel resolution of 12×12 km². We use only 9:30 LT observations to minimize the time
- separation with OMI. Only clear-sky observations with cloud fraction < 0.1 are used. The seasonal mean
- 178 NH₃ columns are calculated using the same method as for OMI NO₂. Further filtering is applied to the
- 179 gridded wintertime means to remove negative values.

Figure 3 shows the IASI seasonal mean NH₃ columns (Ω_{NH3}) in the polluted regions (as identified in Figure 2) for 2017. High Ω_{NH3} concentrations are observed in agriculturally intensive areas ⁶⁹. Peak Ω_{NH3} levels occur in summer, followed by spring, driven by fertilizer application and high temperatures ⁷⁰. High temperatures also shift the thermodynamic partitioning of NH₃ towards the gas phase.

Seasonal mean IASI NH₃ tropospheric columns in 2017 Europe East Asia North America Winter 3.7 1.6 1.7 Spring 12.0 6.0 5.6 Summer 19.1 5.7 7.1 Fall 6.0 4.0 0 2 10 20 30 50 4 6 8 Ω_{NH_3} [10¹⁵ molec cm⁻²]

186

180

187 **Figure 3:** Seasonal mean IASI NH₃ columns (Ω_{NH3}) in East Asia, Europe, and North America in 2017.

188 Values are plotted on the $0.5^{\circ} \times 0.625^{\circ}$ GEOS-Chem model grid. White areas indicate either lack of data 189 or remote areas (winter $\Omega_{NO2} < 2.5 \times 10^{15}$ molec cm⁻² as identified in Figure 2). Regional mean values are 190 provided inset, with units of 10^{15} molec cm⁻².

- 192 We use the $\Omega_{NH3}/\Omega_{NO2}$ ratio to identify surface pNO₃⁻ sensitivity. The different seasonalities of Ω_{NO2} and
- 193 $\Omega_{\rm NH3}$ imprint a large seasonality to the $\Omega_{\rm NH3}/\Omega_{\rm NO2}$ ratio (Figure 4). The ratio peaks in summer, with
- seasonal mean values exceeding 10, and drops to below unity in winter.
- 195

 $\Omega_{NH_3}/\Omega_{NO_2}$ column ratios in 2017

196

197 **Figure 4:** Same as Figure 3 but for columns ratios $\Omega_{NH3}/\Omega_{NO2}$. The seasonal mean column ratios are computed as the ratios of the means.

199 3. RESULTS AND DISCUSSION

- 200 **3.1 Particulate nitrate sensitivity regimes in GEOS-Chem**
- 201 We diagnose the sensitivity of pNO_3^{-1} formation to NO_x , NH_3 , and VOCs emissions in GEOS-Chem by
- 202 conducting sensitivity simulations with 20% reductions in individual precursor emissions. The local

model sensitivity (S_i) of pNO₃⁻ to the emissions (E_i) of precursor *i* is calculated from the relative model differences (Δ) between the sensitivity and base simulations as:

205
$$S_i = \frac{\Delta \log[\text{pNO}_3^-]}{\Delta \log E_i} \tag{1},$$

206 where $[pNO_3]$ refers to monthly mean concentrations in surface air, and *i* refers to NH₃, NO_x, or VOC. A

higher S_i value indicates a greater sensitivity. By comparing sensitivities S_{NOx} , S_{NH3} , and S_{VOC} , we can

- 208 determine whether pNO_3^{-} formation in a model grid cell is most $NO_{x^{-}}$, $NH_{3^{-}}$, or VOC-sensitive.
- 209
- 210 Previous work by Dang, et al. ³⁹ for wintertime conditions in East Asia found that the dominant
- 211 sensitivities (NO_x-, NH₃-, or VOC-sensitive) could be separated within a two-dimensional space defined
- 212 by the column concentration indicator $\Omega_{NH3}/\Omega_{NO2}$ and Ω_{NO2} . The $\Omega_{NH3}/\Omega_{NO2}$ ratio indicates the relative
- abundance of NH₃ to NO_x, while high Ω_{NO2} diagnoses VOC-limited conditions in the oxidation of NO_x to
- 214 HNO₃. Figure 5 expands this analysis to other seasons and regions, where the circles represent the
- dominant sensitivities of pNO_3^- formation for individual $0.5^\circ \times 0.625^\circ$ grid cells in polluted regions (as
- defined in Figure 2). The column indicator is sampled in GEOS-Chem at the OMI overpass time of 13-14
- 217 local time (LT) for NO₂ and at the IASI overpass time of 9-10 LT for NH₃, to emulate satellite
- observations. Averaging kernels are applied to the model NO₂ vertical profiles following Cooper, et al.⁷¹,
- to emulate tropospheric NO₂ columns from version 4 of the NASA OMI NO₂ level 2 product (OMNO2)³⁰.
- 220

- 225 model grid cells across East Asia, Europe, and North America (shown in Figure 2). These dominant
- sensitivities are plotted in an indicator state space as observable from satellite measurements: the
- 227 $\Omega_{NH3}/\Omega_{NO2}$ column ratio and the Ω_{NO2} column. Ω_{NH3} and Ω_{NO2} columns are sampled to mimic the overpass
- 228 times of IASI and OMI instruments, respectively. Linear Discriminant Analysis (LDA) establishes
- 229 thresholds (black solid lines) to separate different regimes, with additional delineations (blue/red dashed

230 lines) indicating higher confidence levels (>80%) for NH₃- and NO_x-sensitive regimes. Equation (2) with 231 coefficients in Table 1 defines the threshold lines.

232

233 Figure 5 shows that application of the satellite-based indicator to diagnose dominant pNO₃⁻ sensitivities to 234 precursor emissions can be generalized to other regions and seasons. We find similarity of behavior in 235 relating pNO₃ sensitivity to the satellite-based indicator for individual regions and therefore all three 236 regions are grouped in Figure 5. Black solid lines, derived using Linear Discriminant Analysis (LDA), 237 delineate transitions between regimes. LDA is a classifier algorithm that finds the linear boundary 238 between classes (https://scikit-learn.org/stable/modules/lda_qda.html). As found under winter conditions 239 in East Asia ³⁹, NH₃-sensitive conditions are associated with $\Omega_{\rm NH3}/\Omega_{\rm NO2}$ ratios below a certain threshold 240 which decreases with increasing Ω_{NO2} . This dependence of the $\Omega_{NH3}/\Omega_{NO2}$ threshold on Ω_{NO2} is due to the 241 reduced efficiency of NO₂ conversion to HNO₃ as NO_x increases, leading to a more likely NH₃ excess at a 242 given $\Omega_{\rm NH3}/\Omega_{\rm NO2}$ ratio. Outside of the NH₃-sensitive regime, whether NO_x or VOCs is the controlling precursor is well delineated by Ω_{NO2} levels. $\Omega_{NO2} > 2 \times 10^{16}$ molec cm⁻² indicates a strong VOC-limited 243 244 condition for oxidant formation, making pNO₃⁻ dominantly sensitive to VOCs emissions (mainly found in winter conditions). For $\Omega_{NO2} < 2 \times 10^{16}$ molec cm⁻², the sensitivity is mostly to NO_x emissions. 245 246 247 The $(\Omega_{NH3}/\Omega_{NO2}, \Omega_{NO2})$ thresholds shown as black solid lines in Figure 5 diagnose the dominant sensitivity

for pNO₃⁻ formation in GEOS-Chem with an accuracy of 70-80% except in summer. The accuracy rises above 80% for the thresholds defined by dashed lines, indicating high-confidence zones. The lower accuracy in summer is due to: (1) the coexistence of NH₃ and HNO₃ in the gas phase at warmer temperatures, reducing the contrast between sensitivities to NH₃ and NO₂; and (2) increased contributions from the free troposphere to NO₂ column concentrations, making satellite observations less representative of surface conditions ^{72,73}.

254

The threshold for separating NH₃- and NO_x-sensitive regimes exhibits seasonal variability, shifting rightward along the $\Omega_{NH3}/\Omega_{NO2}$ axis in warmer seasons. This shift is driven by seasonal variations in the column-to-surface relationship. In warmer seasons, the columns become less representative of surface conditions for both NH₃ and NO₂, due to the increased uplift of surface pollution to the free troposphere and a greater influence of background lightning contributions on the NO₂ columns. The sensitivity regimes, delineated by the solid and dashed lines in Figure 5, are thus diagnosed from $\Omega_{NH3}/\Omega_{NO2}$ and Ω_{NO2} as

NH₃-sensitive:
$$\log \frac{\Omega_{\rm NH_3}}{\Omega_{\rm NO_2}} < b + k \log \Omega_{\rm NO_2}$$
, (2a).

262 NO_x-sensitive:
$$\log \frac{\Omega_{\rm NH_3}}{\Omega_{\rm NO_2}} > b + k \log \Omega_{\rm NO_2}$$
 and $\Omega_{\rm NO_2} < y_0$, (2b),

VOC-sensitive:
$$\log \frac{\Omega_{\text{NH}_3}}{\Omega_{\text{NO}_2}} > b + k \log \Omega_{\text{NO}_2}$$
 and $\Omega_{\text{NO}_2} > y_0$, (2c),

where the coefficients b, k, and y_0 for different seasons are given in Table 1.

264

Table 1. Thresholds coefficients for separating pNO_3^- sensitivity regimes in equation 2^* .

	Winter (DJF)	Spring (MAM)	Summer (JJA)	Fall (SON)
k	-0.33	-0.13	-0.47	-0.23
b^\dagger	-0.070	0.40	0.88	0.31
b_{l}^{\dagger}	-0.36	0.08	-0.10	0.12
b_2^\dagger	0.22	0.71	1.87	0.49
y_0^{\ddagger}	20	>20	>20	>20

^{*} With $\Omega_{NH3}/\Omega_{NO2}$ in units of molar ratio and Ω_{NO2} in units of 10¹⁵ molecules cm⁻².

[†] For thresholding between dominant NH₃- and NO_x-sensitive regimes (*b*, solid lines in Figure 5), and

between high- and low-confidence for a dominant NH_3 -sensitive regime (b_1 , dashed lines in Figure 5) and

269 a NO_x-sensitive regime (b_2 , dashed lines in Figure 5).

[‡] No dominant VOC-sensitive conditions were found in the GEOS-Chem simulation for seasons other

than winter.

272

3.2 Application to satellite observations

We now diagnose local pNO₃⁻ sensitivity regimes across East Asia, Europe, and North America for

different seasons in 2017 by applying the model thresholds from Equation 2 to the OMI and IASI satellite

observations of $(\Omega_{NH3}/\Omega_{NO2}, \Omega_{NO2})$ as shown in Figures 2 and 4. Figure 6 shows the spatial distribution of

the dominant sensitivities to emissions inferred from the satellite indicator. Winter data in Europe are

278 sparse due to low number of successful NH₃ retrievals ⁷⁴.

Satellite-determined PM_{2.5} nitrate sensitivities to precursor emissions in 2017

Figure 6: Dominant sensitivities of $PM_{2.5}$ nitrate (pNO₃⁻) to precursor emissions in East Asia, Europe, and North America in 2017 as diagnosed from seasonal mean IASI and OMI satellite observations of tropospheric NH₃ and NO₂ columns. The regimes are diagnosed using the threshold lines from Figure 5 (Equation (2) with coefficients from Table 1). White areas indicate either lack of data or remote areas (winter $\Omega_{NO2} < 2.5 \times 10^{15}$ molec cm⁻²).

- 285
- 286 The satellite measurements indicate varying pNO₃⁻ sensitivity regimes across regions. Focusing on winter
- 287 when pNO₃⁻ pollution is most severe (first row of Figure 6), NH₃-sensitive regimes are identified in areas
- 288 with relatively low $\Omega_{\rm NH3}/\Omega_{\rm NO2}$ ratios. These include the northern North China Plain (NCP, including
- 289 Beijing), the Yangtze River Delta (YRD, including Shanghai), the Seoul Metropolitan Area (SMA), most
- 290 of Europe, Los Angeles, and the eastern US. In these regions, reducing NH₃ emissions is the most
- 291 effective method to reduce winter pNO_3^- concentrations. NO_x -sensitive regimes are identified in areas

- 292 with higher $\Omega_{NH3}/\Omega_{NO2}$ ratios and relatively low Ω_{NO2} including central China (Fen-Wei Plain, Sichuan
- 293 Basin, and Hubei and Hunan provinces), the Po Valley in Italy, the central US, and the Central Valley in
- 294 California. These are agricultural areas with high NH_3 emissions even in winter, so that reducing NO_x
- 295 emissions is most effective for controlling winter pNO₃ levels. Dominant VOC-sensitive conditions are
- 296 found in the southern NCP, characterized by both NH₃ saturation ($\Omega_{\text{NH3}}/\Omega_{\text{NO2}}$ ratio > 0.4, Fig. 4) and very
- 297 high Ω_{NO2} (> 2×10¹⁶ molec cm⁻², Fig. 2). In this region, the most effective approach to decrease winter
- 298 pNO_3^- is to control VOC emissions.
- 299
- 300 Both $\Omega_{\rm NH3}/\Omega_{\rm NO2}$ and $\Omega_{\rm NO2}$ exhibit significant seasonal variations, as discussed in Section 2.2. The
- 301 $\Omega_{\rm NH3}/\Omega_{\rm NO2}$ ratio is lowest in winter and highest in summer, while $\Omega_{\rm NO2}$ shows the opposite pattern. Despite
- 302 these variations, the spatial pattern of dominant sensitivities, specifically NO_x- and NH₃-sensitive regions,
- 303 remains largely consistent throughout the year. This is because of the shift in the demarcation between
- 304 regimes in Figure 5. Ω_{NO2} may be low in summer but the HNO₃ production rate is larger than in winter.
- 305 The VOC-sensitive regime is limited to winter, as would be expected because VOC limitation of oxidant 306 chemistry is weaker in other seasons.
- 307

308 **3.3** Comparisons to local studies

309 Tables 2 compares the pNO_3 sensitivities identified from the satellite indicator with results from local 310 studies in East Asia, Europe, and the US. We collect three kinds of local studies from the literature: 1) 311 field studies that measure gas and aerosol concentrations and use observational indicators or 312 thermodynamic models to identify the dominant sensitivities; 2) COVID studies that examine the 313 response of pNO₃⁻ levels to real-world NO_x reductions during the COVID-19 pandemic; 3) regional CTM 314 studies that quantify the response of pNO_3 levels to perturbed precursor emissions of NO_3 , NH_3 , or 315 VOCs. Most of the studies focused on only one season. The field studies diagnose NH_3 and HNO_3 316 sensitivities, and some relate HNO_3 sensitivities to NO_x and VOC emissions using an oxidant chemistry 317 model. HNO₃ sensitivity generally implies sensitivity to NO_x emissions except under urban winter 318 conditions where it implies sensitivity to VOC emissions ²⁴. The COVID studies diagnose whether or not 319 pNO_3 is sensitive to NO_x emission reductions ^{66,75,76}. 320 321 Our results align in general very well with these local studies, which find pNO₃⁻ formation to be NH₃-

- sensitive in Guangzhou (fall)⁷⁷, Germany (spring)⁷⁶, the Northeast US (winter), the Southeast US 322
- (summer), and Los Angeles (summer)¹⁴; and to be HNO₃-sensitive in Jinan, NCP (summer)⁷⁸, central 323
- China (all seasons)^{75,79}, the Po Valley (all seasons)⁸⁰, Paris (spring)⁸¹, and the Central Valley in 324

California (winter) ⁸²⁻⁸⁴. Our satellite-based indicator can successfully distinguish spatial contrasts between NH₃-sensitive and NO_x-sensitive regions, and the consistency in regimes across seasons also agrees well with previous local studies. Using the WRF-Chem model, Li, et al. ²⁸ found an increased sensitivity to NO_x during summer in the NCP and YRD, due to a shift to transitional/NO_x-sensitive regime for oxidant formation. We also find a larger area of NO_x-sensitive conditions in eastern China in summer. Summertime pNO₃⁻ is important in China where it can contribute to pollution episodes ^{85, 86}.

331

Previous study	Season	Study type	Finding	This work
North China Plain				
Regional 10, 12, 28	Winter	CTM	NH ₃ - and VOC-sensitive	NH ₃ - and VOC-sensitive
Regional ²⁸	Summer	CTM	NO _x - and NH ₃ -sensitive	NO _x -sensitive
Beijing ^{14,75}	Winter	Field COVID	HNO ₃ -sensitive but NO _x -insensitive	NH ₃ -sensitive
Jinan ⁷⁸	Summer	Field	HNO ₃ -sensitive	NO _x -sensitive
Yangtze River Delta				
Regional 28,87	Winter	CTM	NH ₃ -sensitive	NH ₃ -sensitive
Regional ²⁸	Summer	CTM	NH ₃ -sensitive	NH ₃ - and NO _x -sensitive
Nanjing 75,88	Winter	Field COVID	HNO ₃ -sensitive but NO _x -insensitive	NH ₃ -sensitive
Shanghai 66	Winter	Field COVID	HNO ₃ -sensitive but NO _x -insensitive	NH ₃ -sensitive
Central China				
Changsha ⁷⁵	Winter	COVID	NO _x -sensitive	NO _x -sensitive
Wuhan ⁷⁹	All seasons	Field	HNO ₃ -sensitive	NO _x -sensitive
South China				
Guangzhou 77	Fall	Field	NH ₃ -sensitive	NH ₃ -sensitive

332 **Table 2a.** Comparison to previous studies of PM_{2.5} nitrate sensitivity in East Asia.

333

334 **Table 2b.** Same as Table 2a but for Europe.

Previous study	Season	Study type	Finding	This work
Cabauw, Netherlands ¹⁴	All seasons	Field	HNO ₃ -sensitive	NH ₃ -sensitive
Po Valley, Italy ⁸⁰	All seasons	Field	HNO ₃ -sensitive	NO _x -sensitive
Paris, France ⁸¹	Spring	COVID	NO _x -sensitive	NO _x -sensitive
Germany ⁷⁶	Spring	COVID	NO _x -insensitive	NH ₃ -sensitive

Previous study	Season	Study type	Finding	This work
Northeast US				
Regional ¹⁴	Winter	Field	NH ₃ -sensitive	NH ₃ -sensitive
Western US				
Salt Lake City 4, 24	Winter	Field	HNO ₃ -sensitive, VOC-sensitive	NH ₃ -sensitive
Central Valley 82-84	Winter	Field	HNO ₃ -sensitive	NO _x -sensitive
Los Angeles ¹⁴	Summer	Field	NH ₃ -sensitive	NH ₃ -sensitive
Southeast US				
Regional ¹⁴	Summer	Field	NH ₃ -sensitive	NH ₃ -sensitive

Table 2c. Same as Table 2a but for the United States (US).

337

338 Some urban field studies in winter including in Beijing, Nanjing, Shanghai, and Salt Lake City concluded that pNO₃ formation is more sensitive to HNO₃ than NH₃ due to an observed excess of NH₃^{4,14,66,88}. 339 340 However, we find these environments to be dominantly NH₃-sensitive. This may be explained by 341 differences in the lifetimes of HNO_3 and pNO_3^- against deposition that drive greater sensitivity to NH_3 even when NH₃ concentrations are in excess ^{10, 21, 39}. CTM studies for urban winter conditions, which 342 343 would include the deposition effect, report results consistent with ours, including dominant sensitivities to NH₃ and VOC emissions in the NCP ^{10, 12, 28} and to NH₃ in the YRD ²⁸. One discrepancy that we cannot 344 explain is for Cabauw (Netherlands), where a field study indicates a HNO₃-sensitive regime in all seasons 345 346 ¹⁴, while our satellite indicator finds a NH₃-sensitive regime. 347 348 In summary, we have shown that a new satellite-based indicator using measurements of NO_2 and NH_3 349 columns can effectively diagnose the sensitivity of PM_{25} nitrate formation to NO_x , NH_3 , and VOC 350 emissions in polluted regions of East Asia, Europe, and North America across all seasons. We 351 demonstrated the effectiveness of the indicator in the GEOS-Chem model and derived thresholds to 352 demarcate the dominant sensitivity regimes in different seasons. By applying this method to OMI NO_2 353 and IASI NH₃ observations, we found that some regions are dominantly NO_x -sensitive while others are 354 dominantly NH₃-sensitive, consistently across seasons. VOC-sensitive conditions are limited to urban 355 areas in winter with high NH₃ and very high NO₂. We compared our satellite-determined regimes with 356 previous local studies and found good consistency. Our results emphasize the need for tailored emission 357 control strategies to mitigate PM_{2.5} nitrate pollution. The accuracy of our satellite-based indicator should improve with NO₂ and NH₃ measurements from the same platform as is planned for the geostationary 358 359 satellites Sentinel-4³⁴ over Europe and GeoXO over North America⁸⁹, as well as the proposed Nitrosat 360 mission ⁹⁰.

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366 Author Contributions

- RD and DJJ designed the study. RD conducted model simulations and data analysis, with contributions
- 368 from DJJ, SZ, LHY, and DCP. PC, LC, and MVD provided guidance on the analysis of the NH₃ satellite
- 369 product. DCP, JC, JP, ZL, HL, and PX contributed to the measurement/collection of PM_{2.5} composition
- data. RD led the writing with contributions from all authors.
- 371

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378 Notes

- 379 The authors declare that they have no conflict of interest.
- 380

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